



Jungle Disk  
110 E Houston St., Ste. 209  
San Antonio, TX 78205

[Month] [1-31], 2016

FINRA  
1735 K St., NW  
Washington DC, 20006

Re: 17a-4(f)(2)(ii) Representation and 17a-4(i) Undertaking for [CUSTOMER LEGAL NAME], CRD # [LICENSE NUMBER] ("B/D").

To Whom It May Concern:

Jungle Disk hereby represents that its data storage products and services, ("electronic storage media") can be used to satisfy the requirements of SEA Rule 17a-4(f)(2)(ii). Specifically, Jungle Disk's electronic storage media configured by the B/D with the assistance of Jungle Disk technical staff as a Backup Vault with a 2,192-day retention period meets the following criteria:

- (A) Preserves the records exclusively in a non-rewriteable, non-erasable format;
- (B) Verifies automatically the quality and accuracy of the storage media recording process;
- (C) Serializes the original and, if applicable, duplicate units of storage media, and time-date for the required period of retention the information placed on such electronic storage media; and
- (D) Has the capacity to readily download indexes and records preserved on the electronic storage media to any medium acceptable under this paragraph (f) as required by the Commission or the self-regulatory organizations of which the member, broker, or dealer is a member.

For so long as B/D subscribes to Jungle Disk's electronic storage media, in accordance with SEA Rule 17a-4(i), Jungle Disk will provide commercially reasonable assistance for requests related to records stored on Jungle Disk's electronic storage media as follows:

With respect to any books and records maintained or preserved on behalf of B/D, Jungle Disk hereby undertakes to permit examination of such books and records at any time or from time to time during business hours by representatives or designees of the Securities and Exchange Commission, and to promptly furnish to said Commission or its designee true, correct, complete and current hard copy of any or all or any part of such books and records.

For so long as B/D subscribes to Jungle Disk's electronic storage media, in accordance with SEA Rule 17a-4(f)(3)(vii), Jungle Disk will provide commercially reasonable assistance for requests related to records stored on Jungle Disk's electronic storage media as follows:

The undersigned hereby undertakes to furnish promptly to the U.S. Securities and Exchange Commission ("Commission"), its designees or representatives, any self-regulatory organization of which it is a member, or any State securities regulator having jurisdiction over the member, broker or dealer, upon reasonable request, such information as is deemed necessary by the staffs of the Commission, any self-regulatory organization of which it is a member, or any State securities regulator having jurisdiction over the member, broker or dealer to download information kept on the broker's or dealer's electronic storage media to any medium acceptable under Rule 17a-4.

Furthermore, the undersigned hereby undertakes to take reasonable steps to

Via email delivery of PDF file to B/D.



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provide access to information contained on the broker's or dealer's electronic storage media, including, as appropriate, arrangements for the downloading of any record required to be maintained and preserved by the broker or dealer pursuant to Rules 17a-3 and 17a-4 under the Securities Exchange Act of 1934 in a format acceptable to the staffs of the Commission, any self-regulatory organization of which it is a member, or any State securities regulator having jurisdiction over the member, broker or dealer. Such arrangements will provide specifically that in the event of a failure on the part of a broker or dealer to download the record into a readable format and after reasonable notice to the broker or dealer, upon being provided with the appropriate electronic storage medium, the undersigned will undertake to do so, as the staffs of the Commission, any self-regulatory organization of which it is a member, or any State securities regulator having jurisdiction over the member, broker or dealer may request.

The above undertaking pertains to records required to be maintained and preserved pursuant to the provisions of §§ 240.17a-3 and 240.17a-4. Such records are the property of B/D and will be surrendered promptly on request of B/D.

Sincerely,

Bret Piatt  
CEO, Jungle Disk

Via email delivery of PDF file to B/D.